



State of South Carolina

Office of the Governor

MARK SANFORD
GOVERNOR

OFFICE OF EXECUTIVE
POLICY AND PROGRAMS

To: Units of Local Government and
Non-Profit Homeless Providers

From: Bertie McKie, OEO Senior Program Manager for ESG

Date: February 16, 2007

Re: **REQUEST FOR APPLICATIONS (RFA)**
Emergency Shelter Grants Program
Estimated Budget Available: \$1,474,017

The Governor's Office of Economic Opportunity (OEO) is soliciting applications for the Program Year 2007 Emergency Shelter Grants (ESG) Program. ESG is a United States Department of Housing and Urban Development (HUD) grant authorized under the 1988 Stewart B. McKinney Homeless Assistance Act. According to the Act, the State may make grants to Units of Local Government and Non-Profit, 501(c)3, Organizations that have certification from their Unit of Local Government to carry out the duties of the grant. ESG funds may be used for the following purposes:

- to help improve the quality of existing emergency shelters for the homeless;
- to help make available additional emergency shelters;
- to help meet the cost of operating emergency shelters;
- to provide certain essential services to homeless individuals so that these persons have access to a safe and sanitary shelter; and
- to alleviate the problem of homelessness through the funding of preventive services and activities, and the provision of financial assistance to those "at risk" of becoming homeless.

All grant funds will be awarded through a competitive process. The maximum agency award for the PY is \$75,000.

Organizations interested in applying for funds must submit a complete application package in compliance with the requirements stipulated in the enclosed Request for Applications (RFA) package to the OEO no later than 5:00 p.m. on Monday, April 16, 2007.

Complete applications should be forwarded to:

SC Governor's Office of Economic Opportunity
1205 Pendleton Street, Room 362
Columbia, SC 29201

Only applications that fully adhere to the RFA and are received on or before April 16, 2007 at 5:00 p.m. will be considered responsive. Faxed applications are not allowable.

A pre-award workshop to orient prospective applicants on the various program components and the application procedures has been scheduled as follows:

Event: ESG Pre-Award Workshop

Date: February 23, 2007

Time: 10:00 a.m.—3:00 p.m.

Location: The Edgar Brown Building
Room 467
1205 Pendleton Street
Columbia, SC 29201

Units of Local Government are encouraged to circulate this information to all homeless providers within their jurisdiction.

The OEO has reserved twenty-five (25) parking spaces for workshop attendees in the parking lot located on the corner of Assembly and Pendleton streets (diagonally across from Wachovia Bank). These spaces will be available on a first-come, first-served basis. Please see attachment for the list of specific parking space numbers.

All questions pertaining to the program will be addressed during the workshop. However, if you have any other questions or require additional information, please contact me at (803)734-0673, Margaret Gibson at (803)734-1266, or Serdaria Bouknight at (803)734-0390.

Enclosure

c: Ashlie Lancaster, OEO Director
Margaret Gibson, OEO Program Coordinator
Serdaria Bouknight, OEO Program Coordinator
PY 2007 ESG Control File

Available Parking Spaces for the Pre-Award Workshop on February 23, 2007

Lot is located on the corner of Assembly and Pendleton streets (diagonally across from Wachovia Bank).
Please note that **only** the below listed spaces have been made available:

2108
2109
2110
2111
2112
2113
2114
2115
2116
2117
2118
2119
2120
2121
2122
2123
2124
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#2129
#2130
#2131
#2132

OFFICE OF THE GOVERNOR
OFFICE OF ECONOMIC OPPORTUNITY

PY 2007 EMERGENCY SHELTER GRANTS PROGRAM

REQUEST FOR APPLICATIONS

Instructions

The Office of the Governor, Office of Economic Opportunity (OEO), is requesting applications for the PY 2007 Emergency Shelter Grants (ESG).

All units of local government and public & private non-profit organizations **(with certification from the unit of local government in their area)** that provide services to the homeless are eligible to apply for ESG funds. One application per agency/organization should be submitted to the OEO for consideration. Private non-profit organizations must have a 501(c)3 certification and be registered in the State of South Carolina.

Applications for ESG Program funds must be prepared in accordance with the instructions provided in this Request for Applications (RFA). The contents of the application should be concise and objective. When statistics are cited, the source of the data is to be completely referenced.

Any questions regarding this RFA or the ESG Program should be directed to Bertie A. McKie, Senior Manager for ESG, at (803) 734-0673; Margaret Gibson, ESG Program Coordinator, at (803) 734-1266; or Serdaria Bouknight, ESG Program Coordinator, at (803) 734-0390, 1205 Pendleton Street, Room 362, Columbia, South Carolina 29201.

The OEO must receive an original unbound application and three (3) bound copies no later than 5:00 p.m., on Monday, April 16, 2007. Only applications received on or before the due date will be considered for funding. Faxes are not allowed.

OFFICE OF THE GOVERNOR
OFFICE OF ECONOMIC OPPORTUNITY

**PY 2007 EMERGENCY SHELTER GRANTS PROGRAM
REQUEST FOR APPLICATIONS**

PART I: PROGRAM OVERVIEW

A. Scope and Purpose

The State of South Carolina's ESG Program exists as a result of the 1988 Stewart B. McKinney Homeless Assistance Act as codified by 24 CFR Part 576. The program is designed to be the first step in a continuum of assistance to enable homeless individuals and families to move toward independent living as well as to prevent homelessness. According to the Act, the State can make grants to units of local government and non-profit organizations (which have certification from their unit of local government) for the following purposes:

- To rehabilitate or convert buildings for use as emergency shelters for the homeless;
- To assist with the operating expenses of emergency shelters;
- To provide certain essential services in connection with emergency shelters for the homeless; and
- To provide homeless prevention activities.

B. The Program's Clientele

The grant is intended to address the needs of the individuals and families who are homeless or at risk of becoming homeless. According to 42 U.S.C. 11302, the Federal government has defined 'homeless' to mean:

1. An individual who lacks a fixed, regular, and adequate nighttime residence; and;
2. An individual who has a primary nighttime residence that is either
 - a. A supervised public or privately operated shelter designed to provide temporary living accommodations (including welfare hotels, congregate shelters, and transitional housing for the mentally ill);
 - b. An institution that provides a temporary residence for individuals intended to be institutionalized; or
 - c. A public or private place not designed for, or ordinarily used as, a regular sleeping accommodation for human beings.

The term "homeless" does not include any individual imprisoned or otherwise detained under an act of Congress or a state law. **The State's one-year goal for the number of homeless individuals to be served is at least 25,000 individuals.**

At risk individuals include people who are at imminent risk of losing their housing because they are being evicted from private dwelling units or are being discharged from an institution and have no primary nighttime residence. **The State's one-year goal for the number of at-risk individuals to be provided with preventive services such as rental assistance is 300 households.**

Note: The grant is also intended to address the need of "*chronically homeless*" individuals, defined by the US Department of Housing and Urban Development (HUD) as:

An unaccompanied homeless individual with a disabling condition who has either been continuously homeless for a year or more OR has had at least four episodes of homelessness in the past three years. To be considered chronically homeless persons must have been sleeping in a shelter or on the street for that time.

Furthermore, HUD defines a “*disabling condition*” as:

A diagnosable substance abuse disorder, serious mental illness, developmental disability or chronic physical illness or disability including the co-occurrence of two or more of these conditions. A disabling condition limits an individual’s ability to work or perform one or more activities of daily living.

C. Amount Limitation

For the 2007 Program Year, applicants may apply for a maximum amount of \$75,000. There is no minimum amount. Only one application is allowed per agency or organization.

D. Matching Requirement

ESG funds require a dollar-for-dollar match. Applicants must submit certification of a local match. A match may either be in the form of a cash contribution or donated/in-kind resources such as the value of buildings, equipment and volunteer services. Resources used for match in previous ESG grants or in other current grants cannot be used for this funding period. Volunteer services will be computed at \$5.00 per hour. [Note: Volunteers providing professional services such as medical or legal services are valued at the reasonable and customary rate in the community.]

Section 832 of the 1990 Cranston Gonzales National Affordable Housing Act has allowed a waiver of the match requirements for up to the first \$100,000 of a state’s allocation for applicants who are **least capable** of leveraging local resources to meet ESG matching requirements. Agencies applying for this waiver must provide a justification as to why they are incapable of leveraging local resources.

E. Eligible Activities

ESG funds can be expended under the following allowable categories:

1. **RENOVATION, MAJOR REHABILITATION AND CONVERSION—24 CFR 576.3 (See Section F. Use Requirements):** Renovations and minor rehabilitation means rehabilitation that involves costs of 75% or less of the value of the building before rehabilitation. Conversion and major rehabilitation means a change in the use of the building where the cost of the conversion and any rehabilitation costs exceed 75% of the value of the building. Value of the building means the monetary value assigned to a building by an independent real estate appraiser, or as otherwise reasonably established by the grantee or the State subgrantee. Subgrantees must follow all OEO procurement policies.

ENVIRONMENTAL REVIEW PROCEDURES

The 1988 McKinney Amendment Act revised the environmental review procedures for assistance under Title IV of the Act, including ESG, by making applicable the provisions of, and regulations and procedures under section 104(g) of the Housing and Community Development Act of 1974 (42 U.S.C. Sec. 5304 (f)). The regulations are codified at 24 CFR Part 58. Also, see 24 CFR 576.57e (Release of Funds), and 24 CFR 35 (Lead Based Paint Hazard Reduction).

- An application from private nonprofit organizations that request funds for Rehabilitation activities **must** include a letter documenting that applicant has

requested assistance with the environmental review requirements from the Chief Elected Official (or designee) of the city or county in which the project will be located.

- Applications including a request for Rehabilitation funds must include a **Preliminary Environmental Review Checklist (Appendix J)** that has been executed by the authorized signatory for the organization or by a local elected official (or designee). An organization that is awarded funds for rehabilitation activities must submit a final Environmental Review Checklist.
- No funds may be obligated or expended for rehabilitation activities until the project has been environmentally cleared. For ESG funds distributed by the State *to units of local government*, the unit of local government must assume the environmental responsibilities, and the State will be responsible for providing a release of funds in accordance with the requirements of 24 CFR Part 58.

For funds distributed by the State *to nonprofit organizations*, the State must assume the environmental responsibilities, and HUD will provide the release of funds in this instance.

In either case, funds may be obligated or expended only after the *Request for Release of Funds* and *Certification of Compliance with Environmental Regulations* at 24 CFR Part 58 have been approved in writing.

- OEO may accept a previous environmental review if: 1) the environmental review is not more than 5 years old and no structural changes have occurred; 2) the certifying entity provides documentation that no environmentally significant changes have occurred since the review was done; and 3) a copy of the environmental review is submitted as part of the ESG application.

Ineligible rehabilitation or renovation costs include:

- Acquisition of real property
- New construction
- Property clearance or demolition
- Rehabilitation administration
- Staff training or fund raising activities associated with rehabilitation
- Building maintenance and repairs—to non-fixture items (See Operations—#4, page 5)

2. **ESSENTIAL SERVICES—24 CFR 576.3 (not to exceed 30% of the grant amount):** Essential services include a broad range of support services in the areas of employment, health, drug abuse or education that address the immediate needs of the homeless and help enable homeless persons to become more independent and to secure permanent housing. Essential services are available to homeless persons who are residing in emergency and transitional shelters receiving PY 07 ESG funds. In addition, essential services for homeless persons may be funded in day shelters or soup kitchens that are designed to serve predominantly homeless persons. Finally, essential services may also be provided by shelters or day shelters that address the needs of persons residing on the street.

Essential services include but are not limited to:

- Assistance in obtaining permanent housing,
- Medical and psychological counseling and supervision,
- Employment counseling,
- Nutritional counseling,
- Substance abuse treatment and counseling,

- Assistance in obtaining other Federal, State and local assistance including mental health benefits, employment counseling; medical assistance; Veteran's benefits; and income support assistance such as Supplemental Security Income benefits, Temporary Assistance for Needy Families, General Assistance, and Food Stamps,
- Other services such as child care, transportation, job placement and job training, and
- Staff salaries necessary to provide the above services. Salaries charged must be for ESG activities only. Subgrantees must provide position descriptions for salaries charged to this grant.

Ineligible essential services costs include:

- Existing services and staff (services must be new or provided to more persons)
- Salary of case management supervisor when not working directly on participant issues
- Advocacy, planning, and organizational capacity building
- Staff recruitment/training
- Transportation costs not directly associated with service delivery

3. **PREVENTIVE SERVICES—24 CFR 576.3 (not to exceed 30% of the grant amount)**: Preventive Services are activities or programs designed to prevent the incidence of homelessness. ESG funds for homeless prevention must be expended within 180 days of the start of the grant period (by December 27, 2007). Prevention services include but are not limited to:

- a. Short term subsidies to defray rent and utilities. (A copy of the eviction or cut-off notice must be maintained in the client file.)
- b. Security deposits or first month's rent only for the purpose of preventing a family from becoming homeless to move into an apartment. (A copy of the rental agreement must be maintained in the client file.)
- c. Mediation programs for landlord-tenants disputes.
- d. Legal services programs for the representation of indigent tenants in eviction proceedings.
- e. Payments to prevent foreclosure on a home.
- f. Other innovative programs and activities designed to prevent the incidence of homelessness.

Federal regulations require that all funds allocated to preventive services be expended within 180 days of the grant award.

Development and implementation of homeless prevention activities are subject to the limitations in 42 U.S.C. 11374(a)(4)—grant funds may be used under this paragraph to assist families that have received eviction notices or notices of termination of utility services only if the conditions stated in 42 U.S.C. 11374(a)(4) are met as follows:

- The inability of the family to make the required payments must be the result of a sudden reduction in income;
- The assistance must be necessary to avoid eviction of the family or termination of utility services to the family;
- There must be a reasonable prospect that the family will be able to resume payments within a reasonable period of time; and
- The assistance must not supplant funding for preexisting homeless prevention activities from other sources.

Ineligible homeless prevention costs include:

- Housing/services to homeless persons
- Direct payments to individuals
- Long-term assistance beyond several months
- Application for Federal Funds

4. **OPERATIONAL COSTS—24 CFR 576.21(a)(3):**

a. **Maintenance and Operations** - This category includes expenses incurred by a subgrantee operating a facility with respect to:

- The maintenance, repair, and security of such housing; and
- Operations, rent, repairs, security, fuel, equipment, insurance, utilities, food, and furnishings for the shelter only.

When requesting ESG assistance with the provision of food, the applicant is required to provide a copy of the shelter facility's most recent DHEC inspection and/or proof of liability insurance.

b. **Operational Staff Costs (including fringe benefits cannot exceed 10% of the total grant award.)** Administrative staff costs for operations are the only costs that should be reflected in this category. Costs charged must be provided at a reasonable cost and be directly related to an ESG eligible expenditure category. Subgrantees must submit position descriptions and cost share method, if applicable, for all salaries charged to this grant. Executive Director salaries may only be charged to ESG if they are directly involved in the provision of ESG-funded services and activities.

Ineligible operating or maintenance costs include:

- Recruitment or on-going training of staff
- Depreciation
- Costs associated with the organization rather than the supportive housing project (advertisements, pamphlets about organization, surveys, etc.)
- Staff training, entertainment, conferences or retreats
- Public Relations or fund raising
- Bad debts/late fees
- Mortgage payments
- Direct assistance payments to clients

F. **Certifications of Use Restrictions Requirement**

If any grant funds are to be used for activities under section E(1) the following must be adhered to:

- In the case of assistance involving major rehabilitation or conversion, subgrantee shall certify that the building for which assistance is used will be maintained as a shelter for homeless individuals and families for not less than a ten year period; or
- In the case of assistance involving rehabilitation (other than major rehabilitation or conversion), subgrantee shall certify that the building for which assistance is used will be maintained as a shelter for homeless individuals and families for not less than a three year period;
- Any renovation carried out shall be sufficient to ensure that the building involved is safe and sanitary.

ESG funds are not guaranteed for subsequent years. For this reason, applicants engaging in any activity outlined in E(1) Eligible Activities are required to substantiate a strategy to maintain the shelter for the required time period.

Substantial community support has proven to be an essential component of an applicant's ability to obtain the needed resources to maintain and develop the shelter in subsequent years.

F-1. Lease Agreements

Every lease agreement for an emergency shelter must be for the required time period; 3 years in the case of minor rehabilitation and 10 years in the case of major rehabilitation. Each applicant requesting funds for rehabilitation will be required to submit a lease agreement along with their application.

G. Faith-Based Activities

Organizations that are religious or faith-based are eligible, on the same basis as any other organization, to participate in the ESG program. Neither the Federal governments nor a State or local government receiving ESG funds shall discriminate against an organization on the basis of the organization's religious character or affiliation.

Organizations that are directly funded under ESG may not engage in inherently religious activities, such as worship, religious instruction, or proselytization as part of the programs or services funded under this part. If an organization conducts such activities, the activities must be offered separately, in time or location, from the programs or services funded under this part, and participation must be voluntary for the beneficiaries of the HUD-funded programs or services.

A religious organization that participates in ESG will retain its independence from the Federal, State, and local governments, and may continue to carry out its mission, including the definition, practice, and expression of its religious beliefs, provided that it does not use ESG funds to support any inherently religious activities, such as worship, religious instruction, or proselytization.

An organization that participates in the ESG program shall not, in providing program assistance, discriminate against a program beneficiary or prospective program beneficiary on the basis of religion or religious belief.

ESG may not be used for the rehabilitation of structures to the extent that those structures are used for inherently religious activities. ESG may be used for the rehabilitation of structures only to the extent that those structures are used for conducting eligible activities under this part. Where a structure is used for both eligible and inherently religious activities, ESG may not exceed the cost of those portions of the rehabilitation that are attributable to eligible activities in accordance with the cost accounting requirements applicable to ESG in this part. Sanctuaries, chapels, or other rooms that an ESG-funded religious congregation uses as its principal place of worship, however, are ineligible for ESG-funded improvements. Disposition of real property after the term of the grant, or any change in use of the property during the term of the grant, is subject to government-wide regulations governing real property disposition (See 24 CFR parts 84 and 85).

H. Performance Requirements

The grant period for ESG projects is one year; effective on the date the state makes funds available to the subgrantee. In general, projects requesting funds for rehabilitation may

encounter a delay in obtaining results for the environmental review from the various agencies involved. Environmental reviews generally take 60-90 days to complete. Applicants may want to consider this factor in determining where to use local match.

ESG funds must be obligated within 180 days after the date the state makes the funds available to the ESG subgrantee and must be expended within one year of the date the state makes the funds available. Homeless Prevention funds as defined in 24 CFR Part 576.21 must be obligated within 30 days.

ESG funds used for Homeless Prevention must also be expended within 180 days of the date the State made the funds available. This 180 day threshold only applies to funds allocated for Homeless Prevention.

All projects must begin within three (3) months of the date the state made the funds available to the applicant. If the program has not started within three (3) months of the award date, without written and justifiable cause, the state reserves the right to rescind the grant award. *Start-up is defined as significant expenditure of funds.* In addition, agencies must provide the OEO with written notification of closure within fifteen (15) days prior to actual closure.

The state reserves the right to recapture ESG funds under the following circumstances:

- a. Those who do not meet the performance requirements outlined in the approved project;
- b. Those who are unable to comply with the regulatory deadlines on obligation and disbursement as outlined at 24 CFR Part 576.55(a) (2); and,
- c. Those who otherwise, without appropriate justification, have failed to implement the project as set forth in their approved ESG Grant Application.

Applicants are required to submit a timetable outlining the tasks required for accomplishment of project goals. The timetable submitted will be used to monitor project performance.

I. Performance Threshold

Non-responsible performance means failure to expend funds, provide services and perform in accordance with the criteria outlined in the Grant Agreement.

J. Method of Payment

Disbursement of funds will follow a cost reimbursement procedure and will be for actual funds expended, **rounded down to the nearest whole dollar in each category.** Subgrantees shall only be reimbursed for costs that have been incurred within the current grant year and corroborated with paid invoices or other evidence of subgrantee expenditure or outlays. Requests for reimbursement should be submitted on a monthly basis.

Subgrantees must also submit the final request for reimbursement to the OEO within 15 days after the expiration of the grant period (by June 30, 2008).

Applicants are reminded that any costs incurred prior to the beginning of the start-up date or after the ending date cannot be reimbursed by the grant.

K. Homeless Participation & Termination of Assistance

1. Homeless Participation: The federal regulation at 24 CFR 576.56 (b) describes this participation as:

Participation 24 CFR 576.56 (b)

1. Each unit of local government and nonprofit recipient that receives funds under this part must provide for the participation of homeless individuals on its policymaking entity in accordance with 42 U.S.C. 11375 (d).
2. Each State, territory, unit of local government, and nonprofit recipient that receives funds under this part must involve homeless individuals and families in providing work or services pertaining to facilities or activities assisted under this part, in accordance with 42 U.S.C. 11375 (c) (7).

The regulation refers to the following sections of the statute:

Participation of homeless individuals 42 U.S.C. 11375 (d)

The Secretary shall, by regulation, require each recipient that is not a State to provide for the participation of not less than 1 homeless individual or former homeless individual on the board of directors or other equivalent policymaking entity of such recipient, to the extent that such entity considers and makes policies and decisions regarding any facility services, or other assistance of the recipient assisted under this part. The Secretary may grant waivers to recipients unable to meet the requirement under the preceding sentence if the recipient agrees to otherwise consult with homeless or formerly homeless individuals in considering and making such policies and decisions.

Certifications on Use of Assistance 42 U.S.C. 11375 (c) (7)

Each recipient shall certify to the Secretary that...to the maximum extent practicable, it will involve, through employment, volunteer services, or otherwise, homeless individuals and families in constructing, renovating, maintaining, and operating facilities assisted under this part, in providing services assisted under this part, and in providing services for occupants of facilities assisted under this part.

The OEO shall require that all applicants submit a policy on homeless involvement as an attachment to the application.

2. Termination of Assistance & Grievance. The federal regulation at 24 CFR 576.56 (a) (3) describes the termination provision:

Termination of Assistance 24 CFR 576.56 (a) (3)

Grantees and recipients may, in accordance with 42 U.S.C. 11375 (e), terminate assistance provided under this part to an individual or family who violates program requirements.

The Federal statute details termination of assistance:

Termination of Assistance 42 U.S.C 11375 (e)

If an individual or family who receives assistance under this part from a recipient violates program requirements, the recipient may terminate assistance in accordance with a formal process established by the recipient that recognizes the rights of individuals affected, which may include a hearing.

The OEO shall require that all applicants submit a termination policy that details grievance procedures as an attachment to the application.

L. Timetable

The State will make available to units of general local government and non-profit organizations all ESG Program funds within 65 days of the date the state receives its grant award notice from the U.S. Department of Housing and Urban Development. **A tentative timetable for the 2007 ESG, based on HUD's pending approval, is as follows:**

February 16, 2007	State issues Requests for Applications (RFA) statewide solicitation
February 23, 2007	State holds pre-application (orientation) workshop, provides ESG Application overview, and other pertinent information
April 16, 2007	ESG Applications due at the OEO for 2007 funding consideration and review
June 15, 2007	State informs applicants of the awards
June 22, 2007	Post awards workshop—Emergency Shelter Grants Program Grant Agreements distributed and signed
July 1, 2007- June 15, 2008	Program Year 2007 grant period

As indicated above, this is a tentative timetable, therefore subject to change at the discretion of OEO.

PART II: APPLICATION COMPONENTS

Do not alter OEO forms.

A. MINIMUM REQUIREMENTS FOR GRANT APPLICATION

1. Project Narrative
2. Identification of Funding Sources & Past Performance
3. Statement of Need
4. Agency Outcome Measures / Planned Accomplishments & National Objectives and Outcomes
5. Project Activities
6. Match Documentation
7. Local Capacity and Timetable
8. Project Budget
9. Current Fidelity Bond
10. Most Recent Certified Independent Audit, if required
11. Assurances, Certifications, Current Board Roster, and Supporting Documentation

Each section must begin on a separate page and be appropriately labeled.

An original unbound and three (3) bound copies of the application must be submitted to the OEO by the application due date. The original and copies should have tabs to mark each requirement in "A" above.

At the discretion of the OEO, a grant may not be awarded to an emergency shelter which has a serious, outstanding audit or monitoring finding involving the potential for significant monetary restitution, non-responsiveness, or non-responsible performance on any previously funded ESG grant.

The narrative should be clear and concise and include the following:

- A. Identification of the applicant organization, the exact location (physical address) of the administrative office and each funded shelter and not more than one paragraph summarizing the applicant's capability.
- B. Reason(s) for the grant request.
- C. The target population, the shelter's days /hours of operation, and the agency's bed capacity.
- D. Provide a brief narrative description of the applicant's programs and services, proposed ESG activities, and how the two relate.
- E. Applicants with projects involving rehabilitation are required to complete Section 106 Project Review Form and the Preliminary Environmental Review Checklist included in the appendices, in addition to the project narrative.

Please be very concise. The narrative should not exceed one page.

Section 2: Identification of Funding Sources (Leveraged Funds) & Past Performance
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Past Performance must reflect historical expenditure data, reporting and/or monitoring issues, and outcome tracking. Use the Identification of Funding Form and Past Performance Form provided in Appendix B to complete this section. Complete forms in their entirety.

Section 3: Statement of Need

In the statement of need, the applicant must describe, as accurately as possible, the homeless situation as it exists in its service area. The following components must be addressed:

- A.
 - 1. Identify the target population of homeless persons (for example "chronically homeless," homeless children, homeless families, homeless veterans, victims of domestic violence) that you are seeking to serve. Define your service area and include specific data on the estimated number of these homeless persons in your service area, with reference to the data sources. Describe any unique characteristics of your target population that may impact the type, manner, and scope of the services that are provided and/or needed.
 - 2. Describe any substantially unmet needs of homeless persons in your service area in terms of housing and support services, particularly among your target population.
 - 3. Describe any other special conditions that make the homeless problem especially difficult in your service area.
- B. Describe the problems affecting your target population and/or the needs that you are seeking to address through your proposed project. Please list problems/needs in order of priority, starting with the most important need.
- C. List other shelters and resources available to assist the homeless in your service area, and for each, briefly describing the following:
 - 1. Target population;
 - 2. Maximum capacity (e.g. number of beds) and allowable length of stay;
 - 3. Hours of operation;
 - 4. A brief description of the services provided; and
 - 5. Explain how your agency will fill a need not met by current resources.

Section 4: Agency Outcome Measures / Planned Accomplishments & National Objectives and Outcomes
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- A. **Agency Outcome Measures:** In accordance with new Federal requirements, applicants must submit detailed documentation concerning outcome measures. Explain the types of services offered to the agency's clients beyond those specifically intended to meet the emergency needs. Outcome measures demonstrate the applicant's ability to move their clients toward self-sufficiency. For example, an outcome measure for an applicant might be the number of shelter clients placed into a transitional housing program.
- B. **Planned Accomplishments & National Objectives and Outcomes:** Applicants must complete and provide the OEO Accomplishments Form (Appendix L) in order to indicate targets for the 2007 ESG year. Agency objectives and outcome measures to be achieved should be indicated in the narrative section of the form.
- a. Identify the National Performance Measurement Objective that most accurately describes what the agency intends to accomplish by performing the activity.
 1. **Suitable Living Environments:** (Emergency shelters and transitional shelters for homeless persons including rehabilitation, operations and services) Activities that benefit communities, families, or individuals by addressing issues in their living environment.
 2. **Decent Affordable Housing:** (Homeless prevention activities) Housing activities that meet individual, family or community needs.
 3. **Creating Economic Opportunities:** Activities related to economic development, commercial revitalization, and job creation. (This does not include job training or educational services.)
 - b. Identify the National Performance Measurement Outcome that most accurately describes what the agency intends to accomplish by performing the activity.
 1. **Availability/Accessibility:** (Emergency shelters and transitional shelters for homeless persons including rehabilitation, operations and services) Activities that make shelter and services available and accessible. Note that accessibility does not refer only to physical barriers.
 2. **Affordability:** (Homeless prevention activities) Activities that provide affordability through rent/mortgage assistance, utility assistance, and 1st month rent/security deposit assistance and landlord/tenant mediation/legal services.
 3. **Sustainability:** Activities that promote livable or viable communities and neighborhoods by removing slums or blighted areas.

Note: ESG Guidance: Objective / Outcome

For ESG homeless assistance activities (Emergency shelters and transitional shelters for homeless persons including rehabilitation, conversion, operations and essential services), the preferred OBJECTIVE is:

(1) "Suitable Living Environment".

The preferred OUTCOME for ESG homeless assistance activities is:

(1) "Availability/Accessibility".

For ESG homeless prevention activities, the preferred OBJECTIVE is:

(2) "Decent, Affordable Housing".

The preferred OUTCOME for ESG homeless prevention activities is:

(2) “Affordability”.

Section 5: Project Activities

Applicants should describe, in detail, based on the major program categories, the work to be performed or activities to be undertaken, and explain how their activities relate to both the expected outcomes and National objective(s) stated in Section 4.

SEE “E. ELIGIBLE ACTIVITIES” BEGINNING ON PAGE 5.

Section 6: Match Documentation

ESG requires a dollar-for-dollar match. The match can be either in cash contribution, donated resources, or volunteer services. Please refer to 24 CFR part 576.71 and/or Part I, Section D of this RFA to determine allowable sources for match and other related information. Applicants must:

- A. Identify the sources(s), amount(s) and specific use of all local matching funds and resources. **Special Note: You will be expected to produce and use any funds/resources listed here. Please ensure that any funds/resources listed here are available for your use.** Applicants are reminded that resources used in previous ESG cycles as subgrantee contribution cannot be utilized. Additionally, resources used to fulfill the match requirement of another grant within the same program year as the ESG grant received cannot be utilized.
- B. Include commitment letters for every component of local funds. The commitment letter must include, but is not limited to, the following information:
 - 1. Language which indicates that funds or in-kind contributions have been committed by individuals or organizations authorized to award the funds.
 - 2. The specific dollar amount/resource and time period.
 - 3. An itemization of any in-kind contributions and a description of how the value was determined.
 - 4. Signature of authorized official.

Section 7: Local Capacity and Timetable

Applicants must:

- A.
 - 1. Identify the person(s) in their organization(s) who will be responsible for the administration of the ESG award and identify their responsibilities with respect to this project.
 - 2. Using the Application & Authorized Signature Form in Appendix C, identify all individuals who are responsible for signing checks and requesting reimbursements.
- B. Each applicant must submit a timetable outlining how and when the various facets of the project will be executed. **The timetable should detail the expected expenditure of funds as compared with the elapsed time within the program year at least on a quarterly basis. Additionally, the timetable should also indicate guidelines for achieving the national objectives and outcomes, as well as the local goals provided in Section 4 of the application.**
- C. Applicants are required to describe their financial management capacity and procedures.

- D. The provision of comprehensive assistance to the homeless individuals either through direct services or referrals to other human services providers is a requirement of the ESG. State how you propose to provide the homeless with supportive services or state the agency(s) that you will coordinate with to provide those services. Be as specific as possible, listing the agency, nature and amount of service or assistance provided.
- E. Applicants must submit documentation or a plan on how the shelter will be maintained in subsequent years.
- F. Describe the extent to which the homeless clients' needs could be adequately addressed by other resources in your community without the requested ESG services.
- G. Applicants must complete and include in this section the OEO COC/HMIS Form (Appendix D) that will provide information on the applicant's participation in both its local continuum of care and the Homeless Management Information System.

Section 8: Budget

- A. Each applicant must submit a budget for all funds requested and applicant's contribution. If awarded, funds cannot be expended until the budget is approved by OEO and the grant agreement has been executed.
- B. Applicants must make sure they do not exceed the budget caps associated with the various service elements.
- C. Applicants must include a detailed Budget Narrative, including estimated costs for services to be procured, salary expenses, and/or equipment to be purchased. **(NOTE: Approval of budget does not imply approval for proposed purchases of goods and/or services. Please adhere to OEO Fiscal/Technical Assistance Memo F02-01-Revised June 27, 2006, for proper Procurement Procedures).**

Use the Budget, Match, and Narrative Forms provided in Appendix E to complete this section. Please include names and position descriptions for all staff indicated in the budget.

Section 9: Fidelity Bond

The purchase of a fidelity bond is an allowable cost for ESG, in accordance with OMB Circular A-122. The agency's Board of Directors must deem the purchase of a fidelity bond to be a necessary cost. The agency will be required to submit Board minutes approving the purchase of a fidelity bond. The OEO will only approve a reimbursement not to exceed the grant's actual pro rata share of the bond.

Section 10: Certified Independent Audit Report

Applicants must submit a copy of the most recent certified independent audit report if required by Federal law (within nine months of the grant award). New shelter applicants will have nine (9) months after the first fiscal year to submit their audit report. **In accordance with OMB Circular A-133, the cost of audits are allowable charges provided the agency has federal award expenditures of greater than \$500,000 and the audit costs are allocated proportionately across audited programs such that the percentage of costs charged shall not exceed the percentage derived by ESG funding in relationship to the total federal expenditures.**

Section 11: Assurances, Certifications, Current Board Roster and Supporting Documents

- A. Attach to your application an endorsement from the unit of local government that authorizes the submission of the application and identifies and commits the local match funds, if appropriate. If, due to scheduling, the governing body cannot provide an endorsement, a letter signed by the chief elected or duly authorized representative (i.e., City or County Manager) may be substituted for the endorsement. If the shelter is within an incorporated area, then the local government endorsement must be from that representative body.
- B. Attach to your application a letter of commitment from the chairperson of the agency's Board of Directors. The letter must state the commitment of the Board and the shelter toward this project.
- C. Please attach a map showing the location of the proposed project(s). In the case of renovations, identify the current space and the area to be renovated.
- D. **The following certifications must be executed and returned as a part of this application.** Each certification must be signed by the Executive Director, Board Chairperson or the Chief Elected Official of the unit of local government, in cases where the unit of local government is the subgrantee.
 1. Federal Certifications.
 2. Certification (Endorsement) of Local Government Commitment. This is not required where the unit of local government is the applicant.
 3. Certification of Matching Funds.
 4. Certification of Safe Environs.
- E. A copy of the most recent review and rating documentation conducted at the facility to meet license and code requirements must be submitted—to include both DHEC and Fire Inspection reports stating whether or not the facility is in compliance with all applicable health & safety requirements (as well as all other pertinent documents indicating compliance with applicable codes). **Additionally, for all facilities that provide shelter to children for more than 100 days and were constructed prior to 1978, the applicant must provide evidence of a lead-based paint inspection, as well as any corrective measures that have been performed.**
- F. **All applicants must submit documentation to demonstrate their non-profit status. Private non-profit organizations must have a 501(c) 3 certification and be registered in the State of South Carolina.**
- G. **Applicants must submit a formal policy on termination of assistance, which recognizes the individual's right to a hearing.**
- H. **Per 24 CFR 576.56, applicants must submit a formal policy on homeless participation that provides for the participation of homeless individuals on its policymaking entity in accordance with 42 U.S.C. 11375(d).**
- I. **Attach to your application the agency's confidentiality policy.**
- J. **Current Board Roster**

PART III: SELECTION PROCESS

- A. Evaluation: The evaluation process will ensure that funds are awarded to units of local government and/or non-profit applicants that successfully demonstrate: (1) the nature and extent of the unmet homeless need within its service area, (2) proposed activities that directly address those needs, and (3) the ability to utilize awarded funds promptly.

Each complete application will be evaluated by a review panel. The evaluation instrument is included. Each major application section will be rated according to the total points that have been allotted to the section. The state will endeavor to ensure grant

awards are made to shelters within each region of the state to ensure a continuum of care. In addition, priority consideration will be given to applications that demonstrate the following:

1. Locality has no shelter for the homeless other than the applicant.
2. An existing shelter in the applicant's service area is in danger of closing.
3. ESGP funds are needed to address concerns creating minor health and safety issues for applicants that exhibit exceptional client services.
4. Maximum support services will be provided by the shelter in coordination with other human services agencies.
5. The shelter has substantial community/public support for the project.
6. Homeless individuals will be extensively involved in the operation of the shelter, or in other related activities. (for example, client mentoring programs).

B. Pre-Award Site Visit:

The OEO staff may conduct a pre-award visit to shelters to make an on-site appraisal of the program.

C. Notification:

The OEO will notify all applicants in writing and host a post-award workshop with the selected applicants.

PART IV: APPENDICES

This part contains the following:

1. APPENDIX A: Evaluation criteria
2. APPENDIX B: Identification of Funding and Past Performance Explanation forms
3. APPENDIX C: Application & Authorized Signature form
4. APPENDIX D: Continuum of Care and HMIS Participation form
5. APPENDIX E: Budget, Match, and Budget Narrative forms
6. APPENDIX F: Certification of Local Government Approval form
7. APPENDIX G: ESG Federal Certifications
8. APPENDIX H: Certification of Matching Funds form
9. APPENDIX I: Safe Environs Certification
10. APPENDIX J: Section 106 Project Review and Environmental Review forms
11. APPENDIX K: Inventory of Facilities
12. APPENDIX L: Accomplishments form

EVALUATION PROCESS

Emergency Shelter Grants Program

Applicant capacity. Up to 15 points will be awarded based on the extent to which the application demonstrates the capacity of the applicant agency. The application must demonstrate that the agency staff has adequate credentials and experience to carry out the proposed project. This means that in addition to knowledge of and experience in serving the homeless in general, the organization carrying out the project, its employees, or its partners, must have the necessary experience and qualifications to carry out the specific activities proposed. Factors to be considered will include: prior agency experience and results in the type of work being proposed; suitable agency fiscal capacity and organizational infrastructure to implement the project immediately; and employee experience and credentials in the area to be implemented. OEO's monitoring records of previously funded projects will also be included in determining applicant capacity.

Project quality. Up to 25 points will be awarded based on the extent to which the application demonstrates the quality of the project. The housing and services proposed must be appropriate to the needs of the target population. The application must demonstrate a clear understanding of the needs of the clients, the services to be offered, and the effectiveness of the services in meeting those client's needs. Special consideration will be given to applications that demonstrate service to "at-risk" households, "chronically homeless" individuals (i.e. substance abuse programs, vocational rehabilitation, psychological counseling, and employment training), and that exemplify the agency's ability to assist the State in meeting its goals as outlined throughout the RFA.

Note: For applicants who propose preventive funds in their budget, if awarded, the agency must keep at least 75% of the proposed preventive funds in that line item.

Need for Project. Up to 30 points may be awarded based on the extent to which the application demonstrates the need for the project. It must describe the need for the specific project compared against existing local services. The project may be judged to adequately describe the need for the project if it addresses the following points:

1. The need for the project is documented by use of waiting lists, references to similar programs, etc.
2. The project is consistent with the priorities described in the State's Consolidated Plan;
3. The project does not duplicate existing programs and services.

Operational Feasibility. Up to 20 points may be awarded based on the extent to which the application demonstrates the feasibility of the project. The application must include:

1. Clear and complete plans for implementing the project;
2. Adequate committed funding to implement the project;
3. An adequate strategy for securing additional support and commitment;
4. Adequate number of well-trained staff to carry out the proposed project;
5. Indicators that demonstrate that the project is ready to be implemented immediately (approximately 25% expenditure of funds within the first quarter);

Leveraging and Local Support. Up to 10 points may be awarded based on the extent to which the application demonstrates the organization's overall leveraging and maximum local support. The following will be considered:

1. All applications must provide a demonstration that a local match of cash and/or in-kind resources has been fully committed for the project in compliance with ESG regulations.
2. Applicants demonstrate substantial local support with movement towards a continuum of care strategy, which could include nonprofit organizations, governmental agencies, other providers, private foundations, neighborhood groups and others. This can be accomplished with letters of support and other commitments and should reflect broad-based community support and not be restricted to agencies affiliated with the formal human services delivery system.
3. The extent to which volunteers have been associated with the operation of the shelter and how they defray the costs of other professionals who otherwise would be required to perform their functions.

The scores for each factor will be added in order to obtain a total score for each application. The applications will then be ranked from highest to lowest according to the combined scores. Funding will be awarded to applications according to ranking, beginning with the highest score.

(This form is intended to capture all agency funds to include State, local, private, Federal, and non-Federal)

Subgrantee Name: _____

Street Address: _____

City, State, Zip Code: _____

Counties of Agency Service Area: _____

[illegible]

Past Performance Form

Grant Amount:	
Grant Period:	
Use of Funds	
Rehab/Conv.	
Essential Services	
Preventive	
Operations	
Total Grant Expenditures	
Discuss Reporting Issues	
Discuss Monitoring Issues	
Discuss Performance	

Grant Amount:	
Grant Period:	
Use of Funds	
Rehab/Conv.	
Essential Services	
Preventive	
Operations	
Total Grant Expenditures	
Discuss Reporting Issues	
Discuss Monitoring Issues	
Discuss Performance	

Grant Amount:	
Grant Period:	
Use of Funds	
Rehab/Conv.	
Essential Services	
Preventive	
Operations	
Total Grant Expenditures	
Discuss Reporting Issues	
Discuss Monitoring Issues	
Discuss Performance	

Grant Amount:	
Grant Period:	
Use of Funds	
Rehab/Conv.	
Essential Services	
Preventive	
Operations	
Total Grant Expenditures	
Discuss Reporting Issues	
Discuss Monitoring Issues	
Discuss Performance	

APPLICATION & AUTHORIZED SIGNATURE FORM

APPENDIX C

BLOCK I. APPLICANT NAME AND MAILING ADDRESS

Name: _____ 2007 ESGP Funding Requested _____

Address: _____ 2007 Matching Funds _____

_____ 2007 Total Application Budget _____

_____ 2006 ESGP Funding Granted _____

BLOCK II. Signatures of Individuals Authorized to draw on the Request for Reimbursement and Sign Checks**NOTE:** A minimum of two (2) signatures are required on each check.**A. Please Type or Print**

Name: _____

Title: _____

Phone Number: _____

Email Address: _____

Signature: _____

B. Please Type or Print

Name: _____

Title: _____

Phone Number: _____

Email Address: _____

Signature: _____

C. Please Type or Print

Name: _____

Title: _____

Phone Number: _____

Email Address: _____

Signature: _____

D. Please Type or Print

Name: _____

Title: _____

Phone Number: _____

Email Address: _____

Signature: _____

BLOCK III. Administering Agency Contact
☐ Local Government ☐ Non-Profit ☐ Faith-based Non-Profit

Name: _____

Title: _____

Agency: _____

Address: _____

Phone: _____

Email: _____

Signature: _____

BLOCK IV. Mail Checks To:

Agency Name: _____

Mailing Address: _____

BLOCK V. Other Contacts (If the person to complete Requests for Reimbursement is not otherwise listed on this form, please provide their contact information here.)

Name: _____

Phone: _____

Email: _____

BLOCK VI. Executive Director Form Approval

I certify that the signatures in Block II, A, B, C, and D are of the individuals authorized to draw payment vouchers for the Grant and sign checks.

Name: _____

Title: _____ Executive Director

Signature: _____

BLOCK VII. Board Form Approval

Name: _____

Title: _____ Board Chairperson

Signature: _____

Is the agency a participating member in a local Continuum of Care?

If yes, please indicate the name of the Continuum:

[illegible]

Is the agency participating in HMIS?

If no, please explain why, and if yes, please explain the level or extent of participation:

[illegible]

Emergency Shelter Grant Program Application Budget

APPENDIX E

Subgrantee Name: _____

Shelter Name: _____

Category	ESG Federal Funds Requested	Subgrantee Contribution/Match	Total Budget	Proposed # of Clients to be Served
Shelter:				
Rehab. (minor)				
Rehab. (major)				
Conversion				
Shelter Total:	\$0	\$0	\$0	0
Essential Services (max=30%):				
Client Assistance				
Counseling				
Education				
Other (Specify)				
Essential Total:	\$0	\$0	\$0	0
Preventive (max=30%):				
Client Assistance				
Other (Specify)				
Preventive Total:	\$0	\$0	\$0	0
Operational:				
Equipment				
Rent				
Insurance				
Utilities				
Food & Household				
General Maintenance (Specify)				
Other (Specify)				
Staff Cost (max = 10%)				
Operational Total:	\$0	\$0	\$0	0
GRAND TOTAL:	\$0	\$0	\$0	0

Executive Director Signature	Date
Board Chairperson Signature	Date

For OEO Use Only: (Please Initial & Date)	Approved By	Date
OEO Program Coordinator		
OEO Senior Manager		
OEO Director		
OEO Fiscal		

ESGP Match Budget

Organization: _____

TYPE	DOLLAR VALUE	SOURCE OF MATCH	METHOD OF CALCULATION
Donated Supplies (clothing, furniture, equipment, etc.)			
Cash Donations Or Grants			
Value of Donated Building			
Fair Rental or Lease Value			
Salaries			
Volunteers (@ \$5/hour)			
Other (such as fundraisers)			
MATCH TOTAL			

NOTE: Match budget must be equal to or greater than the amount of ESGP funding requested.

Emergency Shelter Grant Program Application Budget Narrative

Subgrantee Name: _____

Category	Description of Activities	Cost
Shelter		
Shelter Total		
Essential Services (max=30%):		
Client Assistance*		
Counseling*		
Education*		
Other* (Specify)		
Essential Services Total		
Preventive (max=30%):		
Client Assistance		
Other* (Specify)		
Preventive Total		
Operational:		
Equipment		
Rent**		
Insurance***		
Utilities		
Food & Household****		
General Maintenance (Specify)*****		
Other* (Specify)		
Staff Cost* (max = 10%)		
Operational Total		
GRAND TOTAL:		

*For any position partially or fully funded with ESGP, attach a position description and provide the name of the staff person.

**Attach a copy of the lease.

***Attach portion of policy that provides the policy number, vendor information, premium, and payment arrangements.

****Provide latest DHEC inspection and/or evidence of liability insurance if requesting assistance with food.

*****Please be aware that replacement of items HUD describes as "fixtures" is not an allowable expense under this category. Replacement of "fixtures must be budgeted under "Shelter" and are subject to Environmental Review. (Refer to RFA package for the HUD Memo.)

CERTIFICATION OF LOCAL GOVERNMENT APPROVAL FOR NONPROFIT ORGANIZATIONS

I, _____, (name and title) duly authorized to act on behalf of the
_____ (name of jurisdiction) hereby approve the following project(s)
proposed by _____ (name of nonprofit) which is (are) to be located
in _____ (name of jurisdiction):

This image shows a single sheet of white paper with horizontal blue or grey ruling lines. The lines are evenly spaced and run across the width of the page. There are approximately 20 lines visible. The paper has a slight shadow on the right side, suggesting it's resting on a surface.

BY: _____
(Name and Title)

 (Signature)

 (Date)

State of South Carolina
Emergency Shelter Grants Program
CERTIFICATIONS

I, _____ (name and title of official), authorized to act on behalf of the _____ (name of applicant), certify that if awarded Emergency Shelter Grant funds, I will comply with the following as appropriate:

- (1) The requirements of 24 CFR 576.25 (b)(2) concerning the submission by nonprofit organizations applying for funding of a certification of approval of the proposed project(s) from the unit of local government in which the proposed project is located.
- (2) The requirements of 24 CFR 576.51 concerning matching funds. Matching funds in the amount of the grant award will be made available. Funds used to match previous ESGP grants will not be used to match any subsequent awards.
- (3) The requirements of 24 CFR 576.53 concerning the continued use of buildings for which Emergency Shelter Grant funds are used for rehabilitation or conversion of buildings for use as emergency shelters for the homeless; or when funds are used solely for operating costs or essential services, concerning the population to be served.
- (4) The building standards requirements of 24 CFR 576.55;
- (5) The requirements of 24 CFR 576.56, concerning assurances on services and other assistance to the homeless.
- (6) The requirements of 24 CFR 576.57, other appropriate provisions of 24CFR Part 576, and other applicable Federal law concerning nondiscrimination and equal opportunity.
- (7) The requirements of 24 CFR 576.59(b) concerning the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970.
- (8) The requirements of 24 CFR 576.59 concerning minimizing the displacement of persons as a result of a project assisted with these funds.
- (9) The requirements of 24 CFR 576.56(a) and 576.65(b) that grantees develop and implement procedures to ensure the confidentiality of records pertaining to any individual provided family violence prevention or treatment services under any project assisted under the Emergency Shelter Grants Program and that the address or location of any family violence shelter project assisted with Emergency Shelter Grants funds will not be made public, except with written authorization of the person or persons responsible for the operation of such shelter.
- (10) The requirement that recipients involve, to the maximum extent practicable, homeless individuals and families in constructing, renovating, maintaining, and operating facilities assisted under the ESG program, in providing services for occupants of these facilities as provided by 24CFR 576.56(b)(2).
- (11) The requirements of 24 CFR 576.21(a)(4) which provide that the funding of homeless prevention activities for families that have received eviction notices or notices of termination of utility services meet the following standards: (A) that the inability of the family to make the required payments must be the result of a sudden reduction in income; (B) that the assistance must be necessary to avoid eviction of the family or termination of the services to the family; (C) that there must be a reasonable prospect that the family will be able to resume payments within a reasonable period of time; and (D) that the assistance must not supplant funding for preexisting homeless prevention activities from any other source.
- (12) The new requirement of the McKinney-Vento Act, 42 USC 11301, to develop and implement, to the maximum extent practicable and where appropriate, policies and protocols for the discharge of persons from publicly funded institutions or systems of care (such as health care facilities, foster care or other youth facilities, or correction programs and institutions) in order to prevent such discharge

from immediately resulting in homelessness for such persons. I further understand that state and local governments are primarily responsible for the care of these individuals, and that ESG funds are not to be used to assist such persons in place of state and local resources.

- (13) The Drug Free Workplace requirements of 24 CFR Part 24 concerning the Drug Free Workplace Act of 1988.

I further certify that I will comply with the provisions of, and regulations and procedures applicable under, section 104(g) of the Housing and Community Development Act of 1974 with respect to the environmental review responsibilities under the National Environmental Policy Act of 1969 and related authorities as specified in 24 CFR Part 58.

I further certify that the submission on an application for an emergency shelter grant is authorized and that I possess legal authority to carry out emergency shelter grant activities in accordance with applicable law and regulations of the Department of Housing and Urban Development.

ATTACH ALL APPLICABLE AGENCY POLICIES THAT DEMONSTRATE ADHEREANCE TO THE ABOVE CERTIFICATIONS.

Date Signature

Printed Name and Title

**CERTIFICATION REGARDING DEBARMENT, SUSPENSION, INELIGIBILITY AND VOLUNTARY EXCLUSION
LOWER TIER COVERED TRANSACTIONS**

This certification is required by the regulations implementing Executive Order 12549, Debarment and Suspension, 34 CFR Part 85. Section 85.510. Participant responsibilities. The regulations were published as Part VII of the May 26, 1988 Federal Register (pages 19160-19211).

(BEFORE COMPLETING CERTIFICATION, READ INSTRUCTIONS BELOW)

- (1) The prospective lower tier participant certifies, by submission of this proposal, that neither it nor its principals are presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any Federal department or agency.
- (2) Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

Name and Address of Participant:

Name and Title of Authorized Representative

Signature Date

INSTRUCTIONS FOR CERTIFICATION

1. By signing and submitting this proposal, the prospective lower tier participant is providing the certification set out below.
2. The certification in this clause is a material representation of fact upon which reliance was placed when this transaction was entered into. If it is later determined that the prospective lower tier participant knowingly rendered an erroneous certification, in addition to other remedies, including suspension and/or debarment.

3. The prospective lower tier participant shall provide immediate written notice to the person to which this proposal is submitted if any time the prospective lower tier participant learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances.
4. The terms "covered transaction", "debarred", as used in this clause, have the meanings set out in the Definitions and Coverage sections of rules implementing Executive Order 12549.
5. The prospective lower tier participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction, unless authorized by the department or agency with which this transaction originated.
6. The prospective lower tier participant further agrees by submitting this proposal that it will include the clause titled "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion - Lower Tier Covered Transactions", without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions.
7. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant may decide the method and frequency by which it determines the eligibility of its principals. Each participant may check the Nonprocurement List.
8. Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render in good faith the certification required by this clause. The knowledge and information of a participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.
9. Except for transactions authorized under paragraph 5 of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.

CERTIFICATION REGARDING LOBBYING

OFFICE OF ECONOMIC OPPORTUNITY Emergency Shelter Grants Programs

Program: _____

Period: _____

The undersigned certifies, to the best of his/her knowledge and belief, that:

- (1) No Federal appropriated funds have been paid or will be paid by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, continuation, renewal, amendment, or modification of any Federal contract, grant, loan or cooperative agreement.
- (2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.
- (3) The undersigned shall require that the language of this certification be included in the award document for subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, Title 31 USC. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Signature

Title

Agency/Organization

Date

***PY 2006 Emergency Shelter Grants Program
Certification of Matching Funds***

ESGP (State) Total: \$ _____

Local Match Total: \$ _____

*Other Funds Total: \$ _____

GRANT TOTAL \$ _____

_____ certifies that the matching supplemental funds
(*City/Town/County Executive Director*) required by the regulations at 24 CFR 576.71
and 576.85(a)(3), will be provided. **Attached to this certification is a description of
the sources and amounts of such supplemental funds.**

=====

Authorized Official (City/Town/County)

Typed Name and Title

Date

=====

By SUBGRANTEE:

Authorized Official (Shelter)

Typed Name and Title

Date

SUB-GRANTEE/SHELTER
EMERGENCY SHELTER GRANTS PROGRAM
SAFE ENVIRONS CERTIFICATION

.....

We, _____, (Sub-Grantee) and _____
_____ (Shelter Operator), of the State of South Carolina, _____
_____ (County), do certify that every reasonable measure will be taken to ensure that this
shelter’s environment is the safest possible. We guarantee to the extent possible, that these premises
_____ (Shelter Name), will be free of alcohol and
illegal drugs. Further, that the shelter residents and/or these premises will be protected from the illegal use,
possession, or distribution of alcohol and drugs.

We understand that our commitment to the State of South Carolina is made in the best interest of all shelter
residents and staff, knowing that an alcohol and drug-free environment better ensures our safety. While every
effort will be made to comply with these assurances, we understand that no services are to be denied any client
on the basis of failure to meet these objectives.

This certification is in compliance with the State of South Carolina’s Comprehensive Housing Affordability
Strategy (CHAS) and is in agreement with the basic safety requirements set forth in the regulations governing
the Emergency Shelter Grants Program (see 24 CFR Part 24, Subpart f and 24 CFR Part 576.75).

Year Physical Shelter Facility Constructed:_____

**Attach most recent inspections for the actual shelter facility, including lead-based paint, fire safety, and
sanitation.**

.....

_____ Signature and Title of Authorized Official/State	_____ Date
_____ Signature and Title of Authorized Official/Sub-Grantee	_____ Date
_____ Signature and Title of Authorized Official/Shelter	_____ Date

SECTION 106 PROJECT REVIEW FORM

APPLICANT _____ CONTACT PERSON _____

APPLICANT'S ADDRESS _____ CITY _____ STATE _____ ZIP

TELEPHONE _____

PROJECT NAME _____ COUNTY _____

1. Project Description _____

2. Attach a USGS topographic map or county map indicating the precise location of the project.

3. How many acres are in the project area? _____

4. Are there any structures on the property (houses, factories, barns, old garages, sheds, etc.)? YES ____ NO ____

5. If so, what is the approximate age of each structure?

6. Attach 35mm black and white photographs of front and rear elevations of any structures built before World War II.

7. Will the project involve a rehabilitation, relocation or demolition of any structures? Please explain _____

8. Are there any pre-World War II structures adjacent to the proposed project? YES ____ NO ____ (If so, attach 35mm black and white photographs)

9. Has the ground at the project location been disturbed other than by agriculture (grading, major landscape alterations, etc.)?

10. Describe the present use and condition of the property:

If necessary, elaborate on the above questions and include any additional information which you think would be helpful in the review of this project.

The completed form and clear location map (and any photographs) should be sent to the **State Historic Preservation Officer, S.C. Department of Archives and History, P.O. Box 11669, Columbia, S.C. 29211.**
Telephone (803) 734-8609.

STATE OF SOUTH CAROLINA
INSTRUCTIONS FOR COMPLETING THE STATUTORY WORKSHEET

For US Department of Housing and Urban Development (HUD) funded projects which are categorically excluded per 24 CFR § 58.35(a), the Responsible Entity (RE) must make a determination of whether the proposal achieves compliance with each applicable statute, Executive Order or regulation with or without requiring formal consultation procedures, mitigation, permits or having adverse effects on the resources protected by the statute. The Preparer of the Statutory Worksheet must **DOCUMENT OR ATTACH THE SOURCES OF THE DETERMINATION**.

RECORD THE FINDING STATUS ON THE STATUTORY WORKSHEET FOR EACH LISTED FEDERAL STATUTE, REGULATION, OR AUTHORITY AS FOLLOWS:

Status “A” applies when compliance with the authority is achieved without an adverse effect on the protected resource, without necessary mitigation or attenuation; **and** no formal consultation, permit, or agreement is required to establish compliance. In these situations enter “A” in the **Statutory Worksheet** status column.

Status “B” applies when compliance with the authority may have an adverse effect on the protected resource, necessitate mitigation or attenuation; **or** a formal consultation, permit, or agreement is required to establish compliance. In these situations enter “B” in the **Statutory Worksheet** status column; and, in accordance with HUD policy, retain evidence of completion and implementation of required procedures or mitigation with the project Environmental Review Record (ERR). Part B contains additional information regarding additional steps or formal procedures that must be completed prior to submitting a Request for Release of Funds (RROF) to HUD. Part B instructions provide only brief descriptions of findings needed to establish compliance and are not intended to replace the applicable regulations. For further information, consult the applicable regulations.

Historic Properties:

- A) “No Historic Properties are Affected” per 36 CFR § 800.4 (d)(1); **OR** The SHPO has not objected within 30 days to a fully documented determination of “No Historic Properties are Affected.”
- B) “No Historic Properties Are Adversely Affected” pursuant to 36 CFR § 800.5(d)(1) or “Historic Properties Are Adversely Affected” pursuant to 36 CFR § 800.5(d)(2). If the determination is “No Historic Properties Are Adversely Affected” document the determination and submit a RROF. If the determination is “Historic Properties Are Adversely Affected” consult with SHPO and Advisory Council on Historic Preservation pursuant to 36 CFR § 800.6 to resolve adverse affects or develop a Memorandum of Agreement (MOA) that outlines conditions for mitigating adverse effects; **OR** Reject the proposal. If the proposal is not rejected and a finding of “Historic Properties Are Adversely Affected” has been made attach documentation to indicate resolution adverse effects (The project is modified so that a finding is is changed to “No Historic Properties Are Adversely Affected”) or a copy of the MOA outlining the conditions for mitigating the adverse effects.

Floodplain Management:

- A) The project does not involve property acquisition, management, construction, or improvements within a 100-year floodplain (Zones A or V) identified by Flood Insurance Rate Maps (FIRMs); **OR** The project does not involve a “critical action” per 24 CFR § 55.2(b) (1) (i). If a FIRM is not

available, the RE must make a finding based on best available data, for example data from the City/County Engineer, Local Floodplain Administrator, or US Army Corps of Engineers. Attach a copy of the appropriate FIRM to verify that the activity is not occurring within a 100-year floodplain or 500-year floodplain for critical actions.

- B) The project does involve property acquisition; management, construction, or improvements within a 100-year floodplain; **OR** The project does involve a “critical action” within a 500-year floodplain. Complete the 8-step decision making process according to 24 CFR § 55.20 to document that there are no practicable alternatives to the proposal; and develop procedures to mitigate for the effect of the project in a floodplain; **OR** Reject the proposal. If the proposal is accepted and no practicable alternative available, proof of participation in the National Flood Insurance Program must be attached. Copies of the published notices must also be attached.

Wetland Protection:

- A) The project does not involve new construction within wetlands identified in accordance with the 1987 *US Army Corps of Engineers (USACE) Wetland Delineation Manual*. Attach documentation verifying the avoidance of new construction in wetlands.
- B) The project does involve new construction within wetlands identified in accordance with the 1987 *USACE Wetland Delineation Manual*. Complete the 8-step decision making process in 24 CFR § 55.20 to document that there are no practicable alternatives; and develop procedures to mitigate for the effect of the project on the wetland(s); **OR** Reject the proposal. Filling of wetlands may also require prior authorization from the USACE and S.C. Department of Health and Environmental Control–Bureau of Water (SCDHEC-BOW) under Sections 404 and 401 of the Clean Water Act. If the proposal is accepted and no practicable alternative available, copies of the published notices must be attached. Where applicable, attach letters of authorization and verification for activities requiring USACE or SCDHEC-BOW authorization.

Coastal Zone Management Act:

- A) The project is not occurring within one of the 8 Coastal Counties covered under the Coastal Zone Management Act (CZMA).
- B) The project is occurring within one of the 8 Coastal Counties covered under the CZMA. A determination of consistency with the Coastal Management Plan must be secured from the SCDHEC-Office of Ocean and Coastal Resource Management. Attach a copy of the determination of consistency.

Sole Source Aquifers:

- A) There are no sole source aquifers in South Carolina; therefore, this authority does not apply to any HUD projects in South Carolina.
- B) Not applicable to South Carolina.

Endangered Species Act:

- A) The project will have “No Effect” any federally listed Threatened or Endangered Species. This finding is to be based on correspondence with the U.S. Fish and Wildlife Service (USFWS) or National Marine Fisheries Service (NMFS), or the results of a site visit by a competent biologist or botanist. Consultation with the USFWS or NMFS is optional for “No Effect” determinations; however, documentation must be contained in the file to support the conclusion of “No Effect” Supporting documentation includes county lists indicating the absence of federally listed species

in the county where the project is occurring or biological evaluations indicating the absence of habitat for listed species. Attach a copy of any reports or correspondence indicating that the project will have “No Effect” to any federally listed Threatened and Endangered species.

- B)** The project “Is Not Likely To Adversely Affect” or “Is Likely To Adversely Affect” a federally protected Threatened or Endangered Species; **OR** The project is considered a “major construction” activity as defined in the National Environmental Policy Act (42 U.S.C. 4332[2][C]); **OR** Reject the proposal. For a determination of “Is Not Likely To Adversely Affect” concurrence must be obtained from the USFWS or NMFS via completion of the Informal Section 7 Consultation process. For a determination of “Is Likely to Adversely Affect” the complete Formal Section 7 Consultation with the USFW or the NMFS, must be completed in accordance with procedural regulations contained in 50 CFR § 402. If the proposal is not rejected, attach a copy of correspondence, findings, and approved mitigation measures generated by completion of either the Informal or Formal Section 7 Consultation process.

Wild and Scenic Rivers Act:

- A)** The project is not located within one mile of a listed Wild and Scenic River; **OR** The project will have no affect on the natural, free flowing, or scenic qualities of a river in the National Wild and Scenic Rivers system. See http://www.nps.gov/rivers/wildriverslist.html#ga_nc_sc for a list of Wild and Scenic Rivers in or near South Carolina.
- B)** The project is located within one mile of a listed Wild and Scenic River; **OR** The project will affect the natural, free flowing, or scenic qualities of a river in the National Wild and Scenic Rivers system; **OR** Reject the proposal. Consult with the National Park Service or USFWS to resolve or mitigate adverse effects. If the proposal is not rejected, attach documentation of resolution or mitigation of adverse effects.

Clean Air Act:

- A)** The project does not require an Air Quality Permit from from the SC Bureau of Air Quality, or the emissions are considered de minimis and exempt from the determination of conformity requirements; **AND** The project does not require an individual National Emission Standards for Hazardous Air Pollutants (NESHAP) permit or notification.
- B)** The project does require an Air Quality Permit from from the SC Bureau of Air Quality, or the emissions are not de minimis and a determination of conformity is required; **OR** The project does require an individual National Emission Standards for Hazardous Air Pollutants (NESHAP) permit or notification. Obtain the appropriate Air Quality Permit, implement the permit conditions, and any mitigation measures that may be required; **OR** Obtain the necessary NESHAP permit and issue required notices; **OR** Reject the Proposal. If the proposal is not rejected, attach copies of the Air Quality Permit or the NESHAP with documentation of procedures that will be implemented to insure compliance with permit conditions and any required mitigation measures.

Farmland Policy Protection Act:

- A)** The project site is located within an “urbanized area” on the Census Bureau Map, an urban area on the USGS topographical map (as indicated by a “tint overprint”), an “urban built-up” area on a USDA Important Farmland Maps, or an area shown as white (not farmland) on USDA Important Farmland Maps; **OR** Consultation with the Natural Resources Conservation Service (NRCS) (formerly the Soil Conservation Service) indicates that the site is not considered prime or unique farmland, or other farmland of statewide or local importance.

- B) Consultation with the NRCS indicates that the site is considered prime or unique farmland, or other farmland of statewide or local importance. Request valuation of land type from the NRCS using Form AD-1006, and consider the resulting rating in deciding whether to approve the proposal, as well as mitigation measures (including measures to prevent adverse effects on adjacent farmlands); **OR** Reject the proposal. If the proposal is not rejected, attach documentation of resolution or mitigation of adverse effects.

Noise Abatement and Control:

- A) The project is not located within 1000 feet of a major or arterial roadway, 3000 feet of a railroad, 5 miles of a civil airport, or 15 miles of a military airfield; **OR** The project is located within 1000 feet of a major or arterial roadway, or 3000 feet of a railroad; and the outdoor Day-Night Average Sound Level (DNL) is less than 65 decibels (dB) according to HUD “Noise Assessment Guidelines” (NAG); **OR** The project is located within 5 miles of a civil airport or 15 miles of a military airfield; and the activity lies outside the 65 dB DNL contour on an approved Airport Noise Contour map.
- B) The project is located within 1000 feet of a major or arterial roadway, or 3000 feet of a railroad; and the DNL is greater than 65 dB according to HUD NAG; **OR** The project is located within 5 miles of a civil airport or 15 miles of a military airfield; and the activity lies within the 65 dB DNL contour on an approved Airport Noise Contour map. Implement noise attenuation measures per 24 CFR § 51.104 (a); **OR** Reject the proposal. Projects located in the Normally Unacceptable Noise Zone (DNL of 65dB – 75 dB) require Special Environmental Clearance or an Environmental Impact Statement (EIS) if the proposed project is located in a largely undeveloped area per 24 CFR § 51.104 (b). Projects located in the Unacceptable Noise Zone (DNL greater than 75 dB) require an EIS, unless noise is the only environmental issue and no outdoor activity will occur on the project site.

Explosive and Flammable Operations:

- A) The project is located at an Acceptable Separation Distance (ASD) from aboveground storage facilities containing explosive or flammable materials per guidelines in “Siting of HUD-Assisted Projects Near Hazardous Facilities” **OR** The project will expose neither people or buildings to explosive hazards.
- B) The project is not located at an ASD from aboveground storage facilities containing explosive or flammable materials per guidelines in “Siting of HUD-Assisted Projects Near Hazardous Facilities”. Mitigate the blast overpressure or thermal radiation hazard with the construction of a barrier of adequate size and strength to protect the project per 24 CFR § 51.205; **OR** Reject the proposal. If the proposal is not rejected, attach a copy of the specifications for the proposed construction barrier.

Airport Clear Zones and Accident Potential Zones:

- A) The project is not located within a designated civilian airport Runway Clear Zone (RCZ), or within a military airfield Clear Zone (CZ) or Accident Potential Zone (APZ), based upon information from the airport or military airfield administrator identifying the boundaries of such zones; **OR** The project involves an activity that will not make land available for construction, prolong facility life, change facility use, increase human occupancy or use, or introduce flammable, explosive or toxic materials; **OR** The project involves only the sale or purchase of an existing property in the RCZ or CZ.

- B) The project is located within a designated civilian airport RCZ, or within a military airfield CZ or APZ; and involves an activity that will make land available for construction, prolong facility life, change facility use, increase human occupancy or use, or introduce flammable, explosive or toxic materials. It is HUD policy not to provide any development assistance; subsidy or insurance in RCZs or CZs unless the project will not be frequently used or occupied by people and the airport operator provides written assurances that there are no plans to purchase the project site. In cases where HUD assistance is provided, the buyer shall be notified that the property is in a RCZ or CZ along with the implications of acquiring property in a RCZ or CZ. HUD assisted projects located within APZs must be consistent with Land Use Compatibility Guidelines for APZs found at 32 CFR § 265.

Toxic Chemicals and Radioactive Materials:

- A) The subject and adjacent properties are not affected by hazardous materials, contamination, toxic chemicals, gasses, or radioactive substances that could affect the health or safety of occupants, or conflict with the intended use of the subject property. Particular attention should be given to nearby dumps, landfills, industrial sites, and other operations with hazardous wastes.
- B) The subject or adjacent properties are affected by hazardous materials, contamination, toxic chemicals, gasses, or radioactive substances that could affect the health or safety of occupants, or conflict with the intended use of the subject property. Mitigate the adverse environmental condition by removing, stabilizing, or encapsulating the toxic substances in accordance Federal, state or local guidelines; **OR** Reject the proposal. If the proposal is not rejected, attach documentation of remediation or mitigation the environmental condition.

Environmental Justice:

- A) The project site will not be impacted by harmful environmental conditions having a disproportional impact on low income or minority populations.
- B) The project site will be impacted by harmful environmental conditions having a disproportional impact on low income or minority populations. Address and mitigate the disproportional human health or environmental affects adversely affecting the low income or minority populations **OR** Reject the proposal. If the proposal is not rejected, attach documentation of resolution or mitigation of adverse effects.

STATUTORY WORKSHEET

24 CFR §58.5 STATUTES, EXECUTIVE ORDERS & REGULATIONS

Use this worksheet only for projects which are Categorically Excluded per 24 CFR Section 58.35(a).

PROJECT NAME and DESCRIPTION - Include all contemplated actions which logically are either geographically or functionally part of the project: _____

This project is determined to be **Categorically Excluded** according to: [Cite section(s)] _____

DIRECTIONS - Write “A” in the Status Column when the project, by its nature, does not affect the resources under consideration; OR write “B” if the project triggers formal compliance consultation procedures with the oversight agency, or requires mitigation (see Statutory Worksheet Instructions). Compliance documentation must contain verifiable source documents and relevant base data.

Compliance Factors:

Statutes, Executive Orders, and Regulations listed at 24 CFR §58.5	A/B	<u>Compliance Documentation</u>
Historic Properties [36 CFR Part 800]		
Floodplain Management [24 CFR 55, Executive Order 11988]		
Wetland Protection [Executive Order 11990]		
Coastal Zone Management Act [Sections 307(c) and (d)]		
Sole Source Aquifers [40 CFR 149]	A	No sole source aquifers are located within SC. See www.epa.gov/safewater/swp/ssa/reg4.html
Endangered Species Act [50 CFR 402]		
Wild and Scenic Rivers Act [Sections 7(b), and (c)]		
Clean Air Act [Sections 176(c) and (d)]		
Farmland Protection Policy Act [7 CFR 658]		
Noise Abatement and Control [24 CFR 51B]		
Explosive and Flammable Operations [24 CFR 51C]		
Airport Clear Zones and Accident Potential Zones [24 CFR 51D]		
Toxic Chemicals and Radioactive Materials [24 CFR 58.5(i)(2)]		
Environmental Justice [Executive Order 12898]		

STATUTORY WORKSHEET

DETERMINATION:

- () This project converts to Exempt, per Section 58.34(a)(12), because it does not require any mitigation for compliance with any listed statutes or authorities, nor requires any formal permit or license (Status "A" has been determined in the status column for all authorities); **Funds may be drawn down** for this (now) EXEMPT project; OR
- () This project cannot convert to Exempt because one or more statutes/authorities require consultation or mitigation. Complete consultation/mitigation requirements, publish NOI/RROF and obtain Authority to Use Grant Funds (HUD 7015.16) per Section 58.70 and 58.71 before drawing down funds; OR
- () The unusual circumstances of this project may result in a significant environmental impact. This project requires preparation of an Environmental Assessment (EA). Prepare the EA according to 24 CFR Part 58 Subpart E.

PREPARER SIGNATURE: _____ DATE: _____

PREPARER NAME & TITLE (please print): _____

RESPONSIBLE ENTITY CERTIFYING OFFICIAL SIGNATURE: _____

NAME & TITLE (please print): _____ DATE: _____

Compliance Documentation Checklist
24 CFR 58.6

PROJECT NAME / DESCRIPTION: _____

Level of Environmental Review Determination: _____

(Select One: Exempt per 24 CFR 58.34, Categorically Excluded not subject to statutes per § 58.35(b), Categorically Excluded subject to statutes per § 58.35(a), or Environmental Assessment per § 58.36, or EIS per 40 CFR 1500).

STATUTES AND REGULATIONS LISTED AT 24 CFR 58.6

FLOOD DISASTER PROTECTION ACT

1. Does the project involve acquisition, construction or rehabilitation of structures located in a FEMA-identified Special Flood Hazard?

- () No - Source Documentation: _____
() Yes – Continue To Question 2.

2. Is the community participating in the National Flood Insurance Program (or has less than one year passed since FEMA notification of Special Flood Hazards)?

- () Yes - Flood Insurance under the National Flood Insurance Program must be obtained and maintained for the economic life of the project, in the amount of the total project cost. A copy of the flood insurance policy declaration must be kept on file.
() No - **Federal assistance may not be used in the Special Flood Hazards Area unless the community is participating in the National Flood Insurance Program.**

COASTAL BARRIERS RESOURCES ACT

1. Is the project located in a coastal barrier resource area?

- () N/A - Non-coastal county.
() No – Coastal counties must cite source documentation: _____
(This element is completed).
() Yes - **Federal assistance may not be used in such an area.**

AIRPORT RUNWAY CLEAR ZONES AND CLEAR ZONES DISCLOSURES

1. Is the project located within 3,000 feet from the end of the runway at a civil airport? Is the project located within 2.5 miles from the end a runway at a military airfield?

- () No - Source Documentation: _____
(This element is completed).
() Yes – Continue to Question 2.

2. Does the project involve the sale or acquisition of existing property within a Civil Airport's Runway Clear Zone or a Military Installation's Clear Zone?

- () No - Source Documentation: _____
(Project complies with 24 CFR 51.303[a][3]).
() Yes – **A disclosure statement must be provided** to buyer and a copy of the signed disclosure must be maintained in this Environmental Review Record.

Prepared by (name and title, please print): _____

Signature: _____

Date: _____

Inventory of Facilities Form
(Use to list facilities as required in Section 3)

[illegible]

Please indicate the appropriate report submission with an "x"

<input type="checkbox"/>	Planned (Application) Accomplishments
<input type="checkbox"/>	Actual Cumulative Unduplicative April 2nd
<input type="checkbox"/>	Actual Cumulative Unduplicative Final w/Final request

Agency Name and Physical Address:

Objective(s) Narrative:

Indicate program(s) and service(s) with an "x"

<input type="checkbox"/>	emergency shelter facilities	<input type="checkbox"/>	transitional housing
<input type="checkbox"/>	vouchers for shelters	<input type="checkbox"/>	outreach
<input type="checkbox"/>	drop-in-center	<input type="checkbox"/>	soup kitchen/meal distribution
<input type="checkbox"/>	food pantry	<input type="checkbox"/>	health care
<input type="checkbox"/>	mental health	<input type="checkbox"/>	HIV/AIDS services
<input type="checkbox"/>	alcohol/drug program	<input type="checkbox"/>	employment
<input type="checkbox"/>	child care	<input type="checkbox"/>	homeless prevention
<input type="checkbox"/>	other _____		

Organization Type:

<input type="checkbox"/>	Public Agency	<input type="checkbox"/>	Faith Based Non-Profit	<input type="checkbox"/>	Other Non-Profit
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ESGP Objective and Outcome (Choose one objective and one outcome)

Objective

<input type="checkbox"/>	Create suitable living environment
<input type="checkbox"/>	Provide decent affordable housing
<input type="checkbox"/>	Create economic opportunities

Outcome

<input type="checkbox"/>	Availability/accessibility
<input type="checkbox"/>	Affordability
<input type="checkbox"/>	Sustainability

SPECIAL CHARACTERISTICS--Activity Location (select all that apply):

<input type="checkbox"/>	CDBG target area: NRSA
<input type="checkbox"/>	Local target area: Non-CDBG
<input type="checkbox"/>	Disaster area
<input type="checkbox"/>	Historic preservation
<input type="checkbox"/>	Brownfield redevelopment
<input type="checkbox"/>	Conversion

BENEFICIARIES: The number for the following questions should be based on the annual number of persons served.

RESIDENTIAL (EMERGENCY OR TRANSITIONAL SHELTERS)

Annual Number of Adults Served:	<input type="text"/>
Annual Number of Children Served:	<input type="text"/>
Sub-Total:	<input type="text" value="0"/>

NON-RESIDENTIAL SERVICES

Annual Number of Adults and Children Served:	<input type="text"/>
Grand Total:	<input type="text" value="0"/>

	#Total	#Hispanic
White:		
Black/African American:		
Asian:		
American Indian/Alaskan Native:		
Native Hawaiian/Other Pacific Islander:		
American Indian/Alaskan Native & White:		
Asian & White:		
Black/African American & White:		
Am.Indian/Alaskan Native & Black African Am.:		
Other Multi-Racial:		
TOTAL:	0	0

BENEFICIARIES--GENDER, AGE, AND FAMILY TYPE

For Emergency or Transitional Services only

ANNUAL NUMBER OF INDIVIDUAL HOUSEHOLDS (SINGLES):

			TOTALS
Unaccompanied 18 and over ...Male:		Female:	
Unaccompanied under 18Male:		Female:	

ANNUAL NUMBER OF FAMILY HOUSEHOLDS WITH CHILDREN HEADED BY:

Single 18 and over Male:		Female:	
Single under 18 Male:		Female:	
Two Parents 18 and over			
Two Parents under 18			

ANNUAL NUMBER OF FAMILY HOUSEHOLDS WITH NO CHILDREN:

TOTAL:

TARGET POPULATION

List the number of persons for each subpopulation you served. If you served subpopulations that fit more than one category, you may place overlapping numbers (duplicate persons) on the appropriate lines.

Chronically Homeless (Emergency Shelter only):	
Severely Mentally Ill:	
Chronic Substance Abuse:	
Other Disability:	
Veterans:	
Persons with HIV/AIDS:	
Victims of Domestic Violence:	
Elderly:	

HOUSING--Annual number served in Emergency or Transitional Shelters (Annual number of persons served in residential housing for Emergency and/or Transitional Shelters)

SHELTER TYPE	NUMBER OF PERSONS HOUSED
Barracks:	
Group/Large House:	
Scattered Site Apartment:	
Single Family Detached House:	
Single Room Occupancy:	
Mobile Home/Trailer:	
Hotel/Motel:	
Other:	
Total:	0

Agency Funding (will be used for leverage information)

For reporting to HUD, indicate the specific sources and amounts of leveraged funds for your ESGP project:

ESGP Funds

Sources of Local Funding:

Other Federal (including pass-through funds e.g., City CDBG, County FEMA)

State/Local Government Funding (e.g., State Housing Trust Funds, Local Assessment)

Private (including recipient) Funding

fund raising/cash	
loans	
building Value or Lease	
donated Goods	
donated Computers	
new Staff Salaries	
volunteers (\$5/hr)	
volunteer Medical/Legal	